**Section 1: Investigator Information**

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| --- | --- |
| First Name: | **Click here to enter text.** |
| Last Name: | **Click here to enter text.** |
| Department or Program: | **Click here to enter text.** |
| Email Address: | **Click here to enter text.** |
| College Status (faculty, student, etc.): | If other, please specify: **Click here to enter text.** |
| Name of Faculty Sponsor (if non-faculty): | **Click here to enter text.** |
| Faculty Sponsor’s Email: | **Click here to enter text.** |

**Section 2: Project Title**

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| Project Title**:** | **Click here to enter text.** |

**Section 3: Prisoner Participation Research Category**

Indicate which of the following categories applies to the research study being proposed.

The study of the possible causes, effects, and processes of incarceration, and of criminal behavior, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects;

The study of prisons as institutional structures or of prisoners as incarcerated persons, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects;

Research on conditions particularly affecting prisoners as a class (for example, vaccine trials and other research on hepatitis which is much more prevalent in prisons than elsewhere; and research on social and psychological problems such as alcoholism, drug addiction, and sexual assaults) provided that the study may proceed only after the Secretary has consulted with appropriate experts including experts in penology, medicine, and ethics, and published notice, in the Federal Register, of his intent to approve such research; or

Research on practices, both innovative and accepted, which have the intent and reasonable probability of improving the health or well being of the subject. In cases in which those studies require the assignment of prisoners in a manner consistent with protocols approved by the IRB to control groups which may not benefit from the research, the study may proceed only after the Secretary has consulted with appropriate experts, including experts in penology, medicine, and ethics, and published notice, in the Federal Register, of the intent to approve such research.

**Section 4: Mandatory Conditions for the Use of Prisoners as Participants**

Please answer each of the following questions to ensure that you have read each of these requirements and that they apply to your proposed research.

Does this research project represent one of the categories of permissible research listed above?

Are all of the possible advantages accruing to the prisoner through his or her participation in the research, when compared to the general living conditions, medical care, quality of food, amenities and opportunity for earnings in the prison, of such a magnitude that they will NOT impair his or her ability to weigh the risks of the research against the value of such advantages in the limited choice environment of the prison?

Are the risks involved in the research commensurate with risks that would be accepted by non-prisoner volunteers?

Are the procedures for the selection of subjects within the prison fair to all prisoners and immune from arbitrary intervention by prison authorities or prisoners? (Unless you provide to the IRB justification in writing for following some other procedures, control subjects must be selected randomly from the group of available prisoners who meet the characteristics needed for that particular research project.)

Is the information presented in language that is understandable to the participant population?

Are there adequate assurances that parole boards will not take into account a prisoner's participation in the research in making decisions regarding parole, and that each prisoner is clearly informed in advance that participation in the research will have no effect on his or her parole?

If the IRB finds that there may be a need for follow-up examination or care of participants after the end of their participation, are adequate provisions made for such examination or care, taking into account the varying lengths of individual prisoners' sentences, and for informing participants of this fact?